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FAX 940-886-2025  
VERNON, TEXAS 76385-2128

Received & Inspected

JUL - 1 2015

FCC Mail Room

**REDACTED- FOR PUBLIC INSPECTION**

June 29, 2015

**VIA OVERNIGHT DELIVERY**

Marlene H. Dortch, Secretary  
Federal Communications Commission  
Office of the Secretary  
445 12<sup>th</sup> Street, S.W.  
Washington, DC 20554

DOCKET FILE COPY 06/30/15

RE: **Confidential Financial Information Subject to Protective Order in WC Docket Nos. 10-90, 07-135, 05-337, 03-109, CC Docket Nos. 01-92, 96-45, GN Docket No. 09-51, WT Docket No. 10-208, Before the Federal Communications Commission**

Dear Ms. Dortch:

Santa Rosa Telephone Cooperative, Inc. a privately-held rate of return carrier receiving high cost support, has electronically submitted FCC Form 481 to the Commission with redacted financial data, in compliance with 47 C.F.R. §§ 54.313 and 54.422

As specified in the Protective Order issued on November 16, 2012 by the Commission, two copies of the redacted confidential information are being filed simultaneously with the non-redacted confidential information. The redacted information for this filing and each page of the file where confidential information has been omitted is marked "REDACTED - FOR PUBLIC INSPECTION"

Please feel free to contact me with any questions regarding this particular matter.

Sincerely,

Jason Tole, Assistant GM/CFO  
Santa Rosa Telephone Cooperative, Inc.

Enclosures

.cc Mr. Charles Tyler, FCC Telecommunications Access Policy Division  
Ms. Naomie Hudgins, Public Utility Commission

No. of Copies rec'd  
List ABCDE

0+1

**FCC Form 481 - Carrier Annual Reporting  
Data Collection Form**

 FCC Form 481  
OMB Control No. 3060-0985/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 442141

<015> Study Area Name SANTA ROSA TEL COOP

<020> Program Year 2016

<030> Contact Name: Person USAC should contact with questions about this data Jason Tole

<035> Contact Telephone Number: 9408862217 ext. Number of the person identified in data line <030>

<039> Contact Email Address: Email of the person identified in data line <030> jason.tole@srcaccess.net

Received &amp; Inspected

JUL - 1 2015

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ANNUAL REPORTING FOR ALL CARRIERS		54,313 Completion Required	54,422 Completion Required
(check box when complete)			
<100> Service Quality Improvement Reporting	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<200> Outage Reporting (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<210> <input checked="" type="checkbox"/> <-- check box if no outages to report		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<300> Unfulfilled Service Requests (voice) 0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<310> Detail on Attempts (voice)	(attach descriptive document)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<320> Unfulfilled Service Requests (broadband) 0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<330> Detail on Attempts (broadband)	(attach descriptive document)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<400> Number of Complaints per 1,000 customers (voice)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<410> Fixed 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<420> Mobile 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<430> Number of Complaints per 1,000 customers (broadband)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<440> Fixed 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<450> Mobile 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<500> Service Quality Standards & Consumer Protection Rules Compliance	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<510> 442141TXILBC510.pdf	(attached descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<600> Functionality in Emergency Situations	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<610> 442141TXILBC610.pdf	(attached descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<700> Company Price Offerings (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<710> Company Price Offerings (broadband)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<800> Operating Companies and Affiliates	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<900> Tribal Land Offerings (Y/N)? <input type="radio"/> <input checked="" type="radio"/>	(if yes, complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1000> Voice Services Rate Comparability Certification Yes		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1010> 442141TXILBC1010.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1100> Certify whether terrestrial backhaul options exist (Yes or No) <input checked="" type="radio"/> <input type="radio"/>	(if not, check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1110>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1200> Terms and Condition for Lifeline Customers	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet**

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<2000>	(check to indicate certification)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<2005>	(complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet</b>			
<3000>	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<3005>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>



(100) Service Quality Improvement Reporting  
Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@arcaccess.net
<110>	Has your company received its ETC certification from the FCC?	(yes / no ) <input type="radio"/> <input checked="" type="radio"/>
	If your answer to Line <110> is yes, do you have an existing §54.202(a) "5	
<111>	year plan" filed with the FCC?	(yes / no ) <input type="radio"/> <input type="radio"/>

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

- <112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

442141TXILEC112.pdf

Name of Attached Document

Please select the appropriate responses below (Yes, No, Not Applicable) to confirm that the attached document(s), on line 112, contains a progress report on its five-year service quality improvement plan pursuant to §54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets
- <114> Report how much universal service (USF) support was received
- <115> How much (USF) was used to improve service quality and how support was used to improve service quality
- <116> How much (USF) was used to improve service coverage and how support was used to improve service coverage
- <117> How much (USF) was used to improve service capacity and how support was used to improve service capacity
- <118> Provide an explanation of network improvement targets not met in the prior calendar year.

Yes
Yes
Yes
Yes
Yes
Not Applicable

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**(200) Service Outage Reporting (Voice)**

### Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@srcaccess.net

[illegible]

(700) Price Offerings including Voice Rate Data  
Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@srcaccess.net

<701> Residential Local Service Charge Effective Date  
<702> Single State-wide Residential Local Service Charge

1/1/2015

<703>

<81>

<2>

<a3>

<b1>

<b2>

100

<b>

(b5)

100

[illegible]

See attached worksheet



[illegible]

(800) Operating Companies Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@srcaccess.net
<810>	Reporting Carrier	Santa Rosa Telephone Cooperative, Inc.
<811>	Holding Company	Santa Rosa Telephone Cooperative, Inc.
<812>	Operating Company	Santa Rosa Telephone Cooperative, Inc.

[illegible]

**(900) Tribal Lands Reporting  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@srcaccess.net

&lt;910&gt; Tribal Land(s) on which ETC Serves

--

&lt;920&gt; Tribal Government Engagement Obligation

--

Name of Attached Document

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached document(s), on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

- |  |   |  |  |  |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|--|--|--|
| <p>&lt;921&gt; Needs assessment and deployment planning with a focus on Tribal community anchor institutions.</p> <p>&lt;922&gt; Feasibility and sustainability planning;</p> <p>&lt;923&gt; Marketing services in a culturally sensitive manner;</p> <p>&lt;924&gt; Compliance with Rights of way processes</p> <p>&lt;925&gt; Compliance with Land Use permitting requirements</p> <p>&lt;926&gt; Compliance with Facilities Siting rules</p> <p>&lt;927&gt; Compliance with Environmental Review processes</p> <p>&lt;928&gt; Compliance with Cultural Preservation review processes</p> <p>&lt;929&gt; Compliance with Tribal Business and Licensing requirements.</p> | <table border="1"> <tr> <td>Select<br/>Yes or No or<br/>Not Applicable</td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> </table> | Select<br>Yes or No or<br>Not Applicable |  |  |  |  |  |  |  |  |  |
| Select<br>Yes or No or<br>Not Applicable   |   |  |  |  |  |  |  |  |  |  |  |
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Select Yes or No or Not Applicable



**(1100) No Terrestrial Backhaul Reporting  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@srcaccess.net

<1120> Please confirm whether terrestrial backhaul options exist within the supported area pursuant to § 54.313(g) (Yes, No).

<1130> Please select the appropriate response (Yes, No, Not Applicable) to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(g).

(1200) Terms and Condition for Lifeline Customers  
Lifeline  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@srcaccess.net

442141TXILBC1210.pdf

<1210> Terms & Conditions of Voice Telephony Lifeline Plans

Name of Attached Document

<1220> Link to Public Website

HTTP

"Please check these boxes below to confirm that the attached document(s), on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

<1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,



<1222> Details on the number of minutes provided as part of the plan,



<1223> Additional charges for toll calls, and rates for each such plan.



**(2000) Price Cap Carrier Additional Documentation****Data Collection Form***Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers*

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	
<015>	Study Area Name	442141
<020>	Program Year	SANTA ROSA TEL COOP
<030>	Contact Name - Person USAC should contact regarding this data	2016
<035>	Contact Telephone Number - Number of person identified in data line <030>	JASON TOLE
<039>	Contact Email Address - Email Address of person identified in data line <030>	9408862217 ext.
		jason.tole@sicaccess.net

Select the appropriate responses below (Yes, No, Not Applicable) to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e). The information reported on this form and in the documents attached below is accurate.

**Incremental Connect America Phase I reporting**

- <2010> 2nd Year Certification (47 CFR § 54.313(b)(1)i)  
 <2011a> 3rd Year Certification (47 CFR § 54.313(b)(1)ii)  
 <2011b> Attachment (47 CFR § 54.313(b)(1)iii)


Name of Attached Document(s) Listing Required Information

**Price Cap Carrier Receiving Frozen Support Certification (47 CFR § 54.312(a))**

- <2012> 2013 Frozen Support Calculation (47 CFR § 54.313(c)(1))  
 <2013> 2014 Frozen Support Calculation (47 CFR § 54.313(c)(2))  
 <2014> 2015 Frozen Support Calculation (47 CFR § 54.313(c)(3))  
 <2015> 2016 and future Frozen Support Calculation (47 CFR § 54.313(c)(4))


**Price Cap Carrier Connect America ICC Support (47 CFR § 54.313(d))**

- <2016> Certification Support Used to Build Broadband

--

**Connect America Phase II Reporting (47 CFR § 54.313(e))**

- <2017> 3rd year Broadband Service Certification  
 <2018> 5th year Broadband Service Certification  
 <2019> Interim Progress Certification


- <2020> Please check the box to confirm that the attached document(s), on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.

--

- <2021> Interim Progress Community Anchor Institutions


Name of Attached Document(s) Listing Required Information



(3000) Rate Of Return Carrier Additional Documentation  
Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010> Study Area Code 442141  
 <015> Study Area Name SANTA ROSA TEL COOP  
 <020> Program Year 2016  
 <030> Contact Name - Person USAC should contact regarding this data Jason Toile  
 <035> Contact Telephone Number - Number of person identified in data line <030> 9408862217 ext.  
 <039> Contact Email Address - Email Address of person identified in data line <030> jason.toile@rcacomm.net

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

- (3010) Progress Report on 5 Year Plan  
 Milestone Certification (47 CFR § 54.313(f)(1)(i))

442141TXILEC3010.pdf

Name of Attached Document Listing Required Information

- (3011) Please check this box to confirm that the attached document(s), on line 3012 contains the required information pursuant to § 54.313 (f)(1)(ii), the carrier shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year. ☐

- (3012) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))

Name of Attached Document Listing Required Information

- (3013) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))  
 (3014) If yes, does your company file the RUS annual report

(Yes/No) ☒ ☒  
 (Yes/No) ☒ ☒

Please check these boxes to confirm that the attached document(s), on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:

- (3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers) ☒  
 (3016) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☒

- (3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation

442141TXILEC3017.pdf

Name of Attached Document Listing Required Information

- (3018) If the response is no on line 3014, Is your company audited?

(Yes/No) ☒ ☒

If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:

- (3019) Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications ☐  
 (3020) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☐  
 (3021) Management letter and audit opinion issued by the independent certified public accountant that performed the company's financial audit ☐

If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:

- (3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers, ☐  
 (3023) Underlying information subjected to a review by an independent certified public accountant ☐  
 (3024) Underlying information subjected to an officer certification. ☐  
 (3025) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☐

- (3026) Attach the worksheet listing required information

Name of Attached Document Listing Required Information

REDACTED – FOR PUBLIC INSPECTION

(3000) Rate Of Return Carrier Additional Documentation (Continued)

FCC Form 481

Data Collection Form

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010> Study Area Code	442141
<015> Study Area Name	SANTA ROSA TEL COOP
<020> Program Year	2016
<030> Contact Name - Person USAC should contact regarding this data	Jason Toie
<035> Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	jason.toie@rcacccss.net

## Financial Data Summary

(3027) Revenue

(3028) Operating Expenses

(3029) Net Income

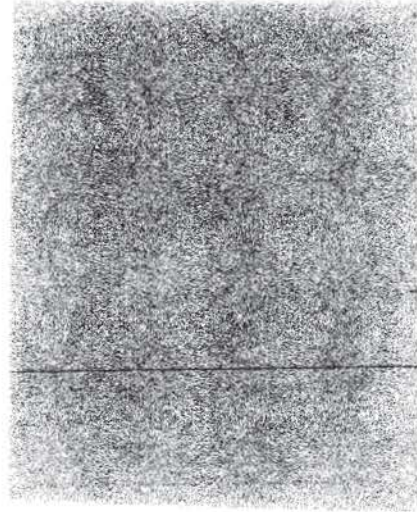
(3030) Telephone Plant In Service(TPIS)

(3031) Total Assets

(3032) Total Debt

(3033) Total Equity

(3034) Dividends




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Certification - Reporting Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	442141
<015> Study Area Name	SANTA ROSA TEL COOP
<020> Program Year	2016
<030> Contact Name - Person USAC should contact regarding this data	Jason Tole
<035> Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	jason.tole@srcaccess.net

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier: SANTA ROSA TEL COOP	
Signature of Authorized Officer: CERTIFIED ONLINE	Date 06/29/2015
Printed name of Authorized Officer: Jason Tole	
Title or position of Authorized Officer: Assistant GM/CFO	
Telephone number of Authorized Officer: 9408862217 ext.	
Study Area Code of Reporting Carrier: 442141	Filing Due Date for this form: 07/01/2015
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	



## Attachments

Response Line 100  
SANTA ROSA TELEPHONE COOPERATIVE, INC.  
Study Area 442141



**Voice Network Upgrades : 2015**

Project Description	Area Served/Wire center	Estimated Start Date	Estimated Completion Date	Estimated Population Served	Estimated Capital Costs

Response Line 100

SANTA ROSA TELEPHONE COOPERATIVE, INC.

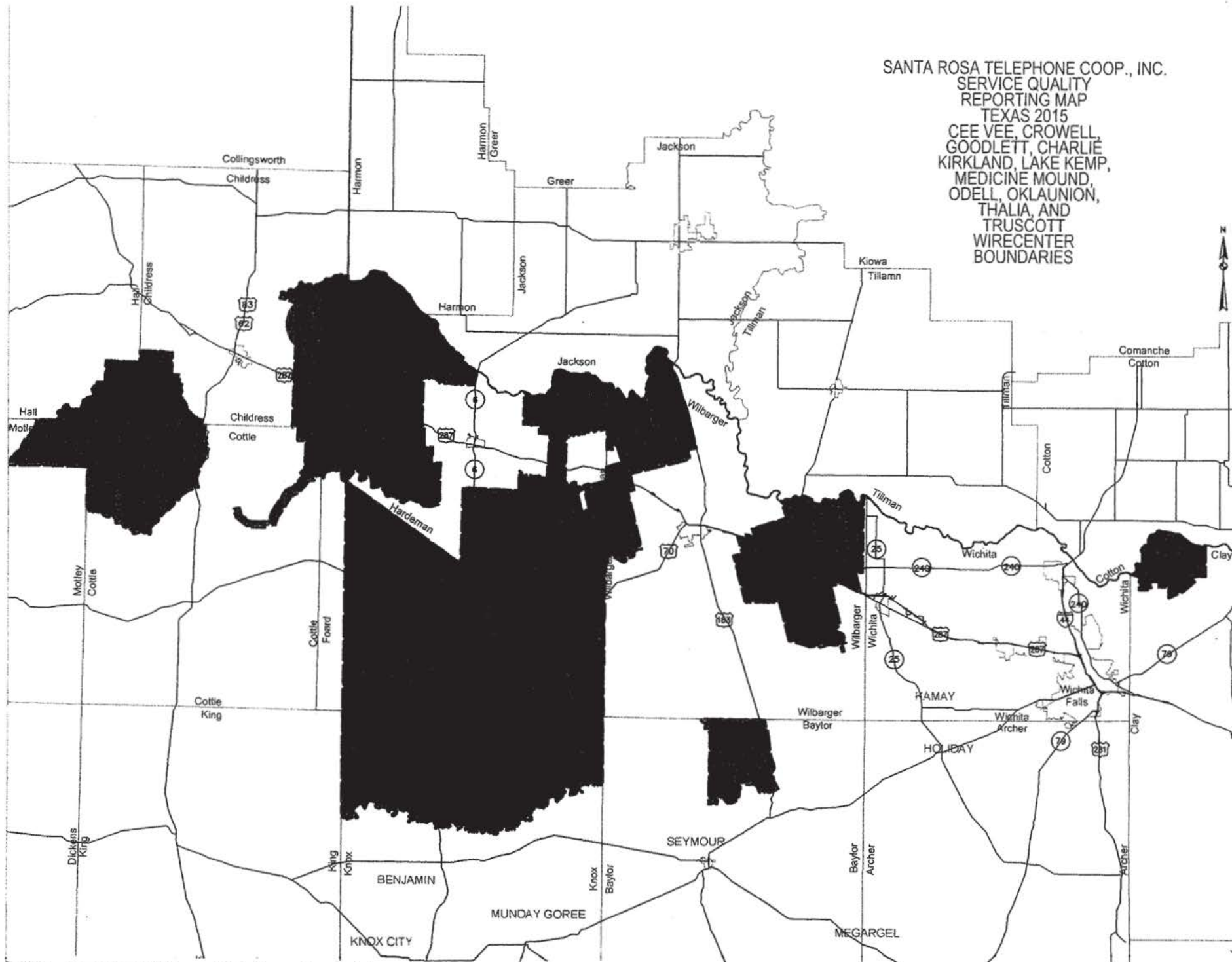
Study Area 442141

**Broadband Upgrades : 2015**

Project Description	Area Served/Wire center	Estimated Start Date	Estimated Completion Date	Estimated Population Served	Estimated Capital Costs

REDACTED - FOR PUBLIC INSPECTION





Confidential Financial Information Subject to Protective Order in WC Docket Nos. 10-90, 07-135, 05-337, 03-109, 14-58, CC Docket Nos. 01-92, 96-45 GN Docket No. 09-51 WT Docket No. 10-208. Before the Federal Communications Commission.

**SANTA ROSA TELEPHONE - 442141**

**CapEx**

2110 Land & General Support

2210 Central Office Switching

2230 Central Office Transmission

2410 Cable & Wire Facilities

Total Capital Expenditures

**OpEx**

Plant Specific

Plant Nonspecific

Depreciation

Customer Operations

Corporate Operations

Total Operating Expenses

**USF YTD (1/15 - 6/15)**

Total USF YTD

**USF BreakOut**

CapEx USF

OpeEx USF

REDACTED - FOR PUBLIC INSPECTION

Response Line 510  
SANTA ROSA TELEPHONE COOPERATIVE, INC.  
Study Area 442141

#### **Voice Network**

Pursuant to 47 C.F.R. § 54.313(a)(5) and or 47 C.F.R. § 54.422(b)(3) SANTA ROSA TELEPHONE COOPERATIVE, INC. ("SRTC") is in compliance with appropriate FCC Service Quality Standards and Consumer Protection Rules. SRTC provides CPNI training to all of its new employees and in addition trains all of its existing employees on an annual basis. SRTC also conducts subscriber outreach regarding CPNI by placing CPNI explanation onto its website at [www.santarosatelco.com](http://www.santarosatelco.com) which informs subscribers about CPNI rules and other applicable customer rights and obligations. In addition SRTC trains staff on Red Flag issues on an annual basis. All company employees are required to sign and acknowledge that they have completed CPNI and Red Flag training and understand obligations to adherence of applicable rules.

SRTC also outlines its rates, terms, and conditions under which SRTC offers service in its Local Exchange Tariff. The tariff explains customer rights and obligations, customer service, dispute resolution, deposits, billing and payment options, disconnection of service as well as cancellation of service options. SRTC keeps its tariffs available for public inspection at its business offices.

#### **Broadband Network**

Pursuant to 47 C.F.R. § 54.313(a)(5) and or 47 C.F.R. § 54.422(b)(3) SRTC is in compliance with applicable FCC Service Quality Standards and Consumer Protection Rules. SRTC trains staff on applicable rules for broadband services issues on an annual basis. In addition SRTC has placed on its website at [www.santarosatelco.com](http://www.santarosatelco.com) its network practices and policies regarding FCC's Net Neutrality Rules.

SRTC also outlines its rates, terms, and conditions under which SRTC offers Broadband service in NECA Tariff #5 to Internet Service Providers ("ISP"). The Tariff explains customer rights and obligations, customer service, dispute resolution, deposits, billing and payment options, disconnection of service as well as cancellation of service options. Public inspection of NECA Tariff #5 can be found on NECA's website. Retail DSL rates, terms, and conditions for retail services are provided by the ISP.



Response Line 610  
SANTA ROSA TELEPHONE COOPERATIVE, INC.  
Study Area 442141

Functionality in Emergency Situations:

**Voice Network**

Pursuant to 47 C.F.R. § 54.313(a)(6) and 47 C.F.R § 54.22(b)(4) as set forth in 47 C.F.R. § 54.202(a)(2) SANTA ROSA TELEPHONE COOPERATIVE, INC. ("SRTC") meets the requirements to remain functional in emergency situations and has the following capabilities: Back-up power is provided to SRTC central offices by use of a generator and batteries that provide it with 8 to 12 hours of emergency power. In addition, SRTC field electronics have 8 to 12 hours of back-up power by use of generators and batteries. SRTC also has SONET, DWDM, and ATM technology deployed in its core fiber optic network that is a self-healing and will automatically reroute traffic should a fiber cut occur. ILEC has also sufficient spare cards for its fiber optic network to provide almost instantaneous replacement should there ever be a card failure in the core network. SRTC also has proper staff in place to repair any fiber cuts in a timely manner. SRTC has connectivity with neighboring telephone exchanges as well as the LATA tandem to provide diverse options to reroute traffic should an emergency arise. SRTC has developed and trained its staff on network preparedness plans in case of emergency situations. SRTC is prepared and capable of managing traffic spikes resulting from emergency situations and has sufficient switching capabilities to handle such situations.

**Broadband Network**

Pursuant to 47 C.F.R. § 54.313(a)(6) and 47 C.F.R § 54.22(b)(4) as set forth in 47 C.F.R. § 54.202(a)(2) SANTA ROSA TELEPHONE COOPERATIVE, INC. ("SRTC") meets the requirements to remain functional in emergency situations and has the following capabilities: Back-up power is provided to SRTC central offices by use of a generator and batteries that provide it with 8 to 12 hours of emergency power that is also used to provide service to the broadband network. In addition, SRTC field electronics have 8 to 12 hours of back-up power by use of generators and batteries. SRTC also has SONET, DWDM, and ATM technology deployed in its core fiber optic network that is a self-healing and will automatically reroute broadband traffic should a fiber cut occur. SRTC has also sufficient spare cards for its fiber optic network to provide almost instantaneous replacement should there ever be a card failure in the core network. SRTC also has proper staff in place to repair any fiber cuts in a timely manner. SRTC has connectivity with neighboring telephone exchanges as well as the LATA tandem to provide diverse options to reroute traffic should an emergency arise. SRTC has developed and trained its staff on network preparedness plans in case of emergency situations.



<p>(700) Price Offerings Including Voice Rate Data Data Collection Form</p>	<p>FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013</p>
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OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@srcaccess.net

1/1/2015
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<703>

[illegible]

(710) Broadband Price Offerings Data Collection Form FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@srcaccess.net

[illegible]

(800) Operating Companies  
Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	442141
<015>	Study Area Name	SANTA ROSA TEL COOP
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jason Tole
<035>	Contact Telephone Number - Number of person identified in data line <030>	9408862217 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	jason.tole@ercaccess.net
<810>	Reporting Carrier	Santa Rosa Telephone Cooperative, Inc.
<811>	Holding Company	Santa Rosa Telephone Cooperative, Inc.
<812>	Operating Company	Santa Rosa Telephone Cooperative, Inc.

[illegible]



Response to Line 1010  
Santa Rosa Telephone Cooperative, Inc.  
Study Area 442141

#### Voice Services Comparability Report

Pursuant to 47 C.F.R. § 54.313 (a) (10) SANTA ROSA TELEPHONE COOPERATIVE, INC. ("SRTC") is in compliance with the requirement that voice services is no more than two standard deviations above the national average urban rate for voice service of \$47.48 as specified in Public Notice DA 15-470 issued on April 16, 2015. SRTC's current total local end-user rate<sup>1</sup> of \$16.53 (which includes a local fee of \$12.50-\$16.00, mandated state fees of \$.53 and mandatory extended area service charges of \$1.85-\$3.50 where applicable) is not above the standard deviation as specified in the USF/ICC Transformation Order.

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<sup>1</sup> Local End User Rate as defined in USF/ICC Transformation Order 26 FCC Rcd at 17751, Para. 238



# **Santa Rosa Telephone Cooperative, Inc.**

## **Terms and Conditions of Service**

Vernon Office  
1-888-886-2217  
M-F 8:30-5:00

Seymour Office  
1-877-889-1125  
M-F 8:00-5:00

Haskell Office  
1-888-863-1125  
M-F 8:00-5:00

### **Customer Rights Information**

You have been provided with a telephone directory that includes Customer Rights information and other important telephone information. Every six months you will receive a bill insert directing you to that information.

### **Lifeline and Tel-Assistance Services**

Special reduced rates are available to some customers that qualify based on limited income and or disability. Please call our office for more information.

### **Descriptions of Services and Charges**

Descriptions of the service to which you have subscribed will be presented to you. You have also been provided with a list of the monthly charges for each service to which you have subscribed, and have been informed of the lowest-priced alternative plans available.

The installation fees are one-time non-recurring charges that are not refundable. You will not be required to pay a deposit if a letter of credit from your previous company is provided; or if you are an applicant who is sixty-five (65) years of age or older. If a deposit is required it will be returned with interest after 12 months of paying your telephone bill on time for residential service and 24 months for business service. Any construction charges applicable to your service have been explained to you.

Your membership entitles you to accrue capital credits with the Cooperative, but the Cooperative must make a profit before capital credits are allocated.

### **Other Charges**

Your first bill may also include a prorated amount for the first month of service. Surcharges and taxes on your bill are also assessed each month as a percent of revenue and remitted to the appropriate agency or authority. The Cooperative will charge \$25 for each returned check. If service is disconnected for non-payment a minimum service restoration charge will apply to have service restored.

### **Billing Cycle**

Charges for local service are billed one month in advance. Long distance charges are usually billed one-half to one and one-half months after calls have been made. Your bill is mailed by the 1<sup>st</sup> of every month, and is due upon arrival. Your payment is considered past due if not paid by the 16<sup>th</sup> day after issuance.

### **Cancellation Policy**

If service is canceled after the service is installed you will be responsible for the non-recurring installation charges, which will not be refunded.

### **Contracts and Right of Cancellation**

If your service requires a term contract, the minimum contract service terms have been provided to you. Also, if the term of the contract is longer than 31 days, you have six business days from the time the terms and conditions information is sent to you to cancel the contract without penalty or fee.

### **Anti-Discrimination Policy**

Cooperative services are provided without discrimination as to a customer's race, color, sex, nationality, religion, marital status, income level, source of income, or from unreasonable discrimination on the basis of geographic location.

***This information is available in Spanish. Esta informacion es disponible Espanol.***

# **Santa Rosa Communications, L.T.D.**

## **Terms and Conditions of Service**

### **Descriptions of Services and Charges**

Descriptions and a list of the monthly charges for service to which you have subscribed are furnished for your information. The installation fees are one-time non-recurring charges that are not refundable. If a deposit is required it will be returned with interest after 12 months of paying your telephone bill on time for residential service and 24 months for business service.

#### **Other Charges**

Your first bill may also include a prorated amount for the first month of service. Surcharges and taxes on your bill are also assessed each month as a percent of revenue and remitted to the appropriate agency or authority. If service is disconnected for non-payment a minimum service restoration charge will apply to have service restored.

### **SRC Long Distance**

#### **Service Plans**

<b>Residential</b> Flat Rate: \$0.10 / minute One Rate: \$4.95 plus \$0.07 / minute <u>Volume Discount Rates:</u> Varies \$0.07 to \$0.11 / min 48 States: \$30.00 / month Includes 48 contiguous states	<b>Residential</b> <u>Peak/Off Peak Plan:</u> Peak: \$0.11/min 7 a.m. – 6:59 p.m. M - F Off Peak: \$0.09/min All other times	<b>Business</b> <u>Peak/Off Peak Plan:</u> Peak: \$0.13/min 7 a.m. – 6:59 p.m. M - F Off Peak: \$0.11/min All other times	<b>Business</b> Flat Rate: \$0.12 / minute  One Rate: \$4.95 plus \$0.09 / minute <u>Volume Discount Rates:</u> Varies \$0.07 to \$0.13 / min
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#### **PIC Freeze**

A Preferred Carrier Freeze is available to sign, so Santa Rosa Telephone Coop, Inc. will not change the carrier providing service without your direct authorization by verbal or written request.

#### **Toll Free Numbers**

Toll Free Numbers are available to you without a monthly service fee, but \$0.15 per minute will be charged to the customers' bill when toll free numbers are used.

*This information is available in Spanish. Esta informacion es disponible Espanol.*

Response to Line3010  
SANTA ROSA TELEPHONE COOPERATIVE, INC.  
442141

#### Milestone Certification

Pursuant to 47 C.F.R. § 54.202(a) SANTA ROSA TELEPHONE COOPERATIVE, INC. ("SRTC") provides this certification that it is taking reasonable steps to provide upon reasonable request broadband speeds of at least 4 Mbps downstream/1 Mbps upstream, with latency suitable for real-time applications, including Voice over Internet Protocol, and usage capacity that is reasonably comparable to offerings in urban areas as determined in an annual survey as specified in Public Notice DA 15-470, and that requests for such service are met within a reasonable amount of time. Details for how SRTC is meeting its obligations for meeting its goals and required obligations are specified within the FCC Form 481 annual filing.



USDA-RUS

This data will be used by RUS to review your financial situation. Your response is required by 7 U.S.C. 901 et seq. and, subject to federal laws and regulations regarding confidential information, will be treated as confidential.

**OPERATING REPORT FOR  
TELECOMMUNICATIONS BORROWERS**

BORROWER NAME  
Santa Rosa Telephone Cooperative, Inc.

INSTRUCTIONS: Submit report to RUS within 30 days after close of the period.  
For detailed instructions, see RUS Bulletin 1744-2. Report in whole dollars only.

PERIOD ENDING  
December, 2014

BORROWER DESIGNATION  
TX0559

**CERTIFICATION**

We hereby certify that the entries in this report are in accordance with the accounts and other records of the system and reflect the status of the system to the best of our knowledge and belief.

ALL INSURANCE REQUIRED BY 7 CFR PART 1788, CHAPTER XVII, RUS, WAS IN FORCE DURING THE REPORTING PERIOD AND RENEWALS HAVE BEEN OBTAINED FOR ALL POLICIES.

DURING THE PERIOD COVERED BY THIS REPORT PURSUANT TO PART 1788 OF 7CFR CHAPTER XVII  
(Check one of the following)

☒ All of the obligations under the RUS loan documents have been fulfilled in all material respects.

☐ There has been a default in the fulfillment of the obligations under the RUS loan documents. Said default(s) is/are specifically described in the Telecom Operating Report

DATE

**PART A. BALANCE SHEET**

ASSETS	BALANCE PRIOR YEAR	BALANCE END OF PERIOD	LIABILITIES AND STOCKHOLDERS' EQUITY	BALANCE PRIOR YEAR	BALANCE END OF PERIOD
<b>CURRENT ASSETS</b>			<b>CURRENT LIABILITIES</b>		
1. Cash and Equivalents			25. Accounts Payable		
2. Cash-RUS Construction Fund			26. Notes Payable		
3. Affiliates:			27. Advance Billings and Payments		
a. Telecom, Accounts Receivable			28. Customer Deposits		
b. Other Accounts Receivable			29. Current Mat. L/T Debt		
c. Notes Receivable			30. Current Mat. L/T Debt-Rur. Dev.		
4. Non-Affiliates:			31. Current Mat.-Capital Leases		
a. Telecom, Accounts Receivable			32. Income Taxes Accrued		
b. Other Accounts Receivable			33. Other Taxes Accrued		
c. Notes Receivable			34. Other Current Liabilities		
5. Interest and Dividends Receivable			35. Total Current Liabilities (25 thru 34)		
6. Material-Regulated			<b>LONG-TERM DEBT</b>		
7. Material-Nonregulated			36. Funded Debt-RUS Notes		
8. Prepayments			37. Funded Debt-RTB Notes		
9. Other Current Assets			38. Funded Debt-FFB Notes		
0. Total Current Assets (1 Thru 9)			39. Funded Debt-Other		
<b>NONCURRENT ASSETS</b>			40. Funded Debt-Rural Develop. Loan		
1. Investment in Affiliated Companies			41. Premium (Discount) on L/T Debt		
a. Rural Development			42. Recquired Debt		
b. Nonrural Development			43. Obligations Under Capital Lease		
2. Other Investments			44. Adv. From Affiliated Companies		
a. Rural Development			45. Other Long-Term Debt		
b. Nonrural Development			46. Total Long-Term Debt (36 thru 45)		
3. Nonregulated Investments			<b>OTHER LIAB. &amp; DEF. CREDITS</b>		
4. Other Noncurrent Assets			47. Other Long-Term Liabilities		
5. Deferred Charges			48. Other Deferred Credits		
6. Jurisdictional Differences			49. Other Jurisdictional Differences		
7. Total Noncurrent Assets (11 thru 16)			50. Total Other Liabilities and Deferred Credits (47 thru 49)		
<b>LAND, PROPERTY, AND EQUIPMENT</b>			<b>EQUITY</b>		
Telecom, Plant-in-Service			51. Cap. Stock Outstand. & Subscribed		
Property Held for Future Use			52. Additional Paid-in-Capital		
Plant Under Construction			53. Treasury Stock		
Plant Adj., Nonop. Plant & Goodwill			54. Membership and Cap. Certificates		
Less Accumulated Depreciation			55. Other Capital		
Net Plant (18 thru 21 less 22)			56. Patronage Capital Credits		
<b>TOTAL ASSETS (10+17+23)</b>			57. Retained Earnings or Margins		
			58. Total Equity (51 thru 57)		
			59. TOTAL LIABILITIES AND EQUITY (35+46+50+58)		



USDA-RUS		BORROWER DESIGNATION	
OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS		TX0559	
		PERIOD ENDING December, 2014	
INSTRUCTIONS- See RUS Bulletin 1744-2			
PART B. STATEMENTS OF INCOME AND RETAINED EARNINGS OR MARGINS			
ITEM		PRIOR YEAR	THIS YEAR
1. Local Network Services Revenues			
2. Network Access Services Revenues			
3. Long Distance Network Services Revenues			
4. Carrier Billing and Collection Revenues			
5. Miscellaneous Revenues			
6. Uncollectible Revenues			
7. Net Operating Revenues (1 thru 6 less 6)			
8. Plant Specific Operations Expense			
9. Plant Nonspecific Operations Expense (Excluding Depreciation & Amortization)			
10. Depreciation Expense			
11. Amortization Expense			
12. Customer Operations Expense			
13. Corporate Operations Expense			
14. Total Operating Expenses (8 thru 13)			
15. Operating Income or Margins (7 less 14)			
16. Other Operating Income and Expenses			
17. State and Local Taxes			
18. Federal Income Taxes			
19. Other Taxes			
20. Total Operating Taxes (17+18+19)			
21. Net Operating Income or Margins (15+16-20)			
22. Interest on Funded Debt			
23. Interest Expense - Capital Leases			
24. Other Interest Expense			
25. Allowance for Funds Used During Construction			
26. Total Fixed Charges (22+23+24-25)			
27. Nonoperating Net Income			
28. Extraordinary Items			
29. Jurisdictional Differences			
30. Nonregulated Net Income			
31. Total Net Income or Margins (21+27+28+29+30-26)			
32. Total Taxes Based on Income			
33. Retained Earnings or Margins Beginning-of-Year			
34. Miscellaneous Credits Year-to-Date			
35. Dividends Declared (Common)			
36. Dividends Declared (Preferred)			
37. Other Debits Year-to-Date			
38. Transfers to Patronage Capital			
39. Retained Earnings or Margins End-of-Period [(31+33+34) - (35+36+37+38)]			
40. Patronage Capital Beginning-of-Year			
41. Transfers to Patronage Capital			
42. Patronage Capital Credits Retired			
43. Patronage Capital End-of-Year (40+41-42)			
44. Annual Debt Service Payments			
45. Cash Ratio [(14+20-10-11) / 7]			
46. Operating Accrual Ratio [(14+20+26) / 7]			
47. TIER [(31+26) / 26]			
48. DSCR [(31+26+10+11) / 44]			

USDA-RUS

BORROWER DESIGNATION

TX0559

OPERATING REPORT FOR  
TELECOMMUNICATIONS BORROWERS

PERIOD ENDED

December, 2014

INSTRUCTIONS - See help in the online application.

## PART I - STATEMENT OF CASH FLOWS

1. Beginning Cash (Cash and Equivalents plus RUS Construction Fund)

## CASH FLOWS FROM OPERATING ACTIVITIES

2. Net Income

*Adjustments to Reconcile Net Income to Net Cash Provided by Operating Activities*

3. Add: Depreciation

4. Add: Amortization

5. Other (Explain)

*Changes in Operating Assets and Liabilities*

6. Decrease/(Increase) in Accounts Receivable

7. Decrease/(Increase) in Materials and Inventory

8. Decrease/(Increase) in Prepayments and Deferred Charges

9. Decrease/(Increase) in Other Current Assets

10. Increase/(Decrease) in Accounts Payable

11. Increase/(Decrease) in Advance Billings &amp; Payments

12. Increase/(Decrease) in Other Current Liabilities

13. Net Cash Provided/(Used) by Operations

## CASH FLOWS FROM FINANCING ACTIVITIES

14. Decrease/(Increase) in Notes Receivable

15. Increase/(Decrease) in Notes Payable

16. Increase/(Decrease) in Customer Deposits

17. Net Increase/(Decrease) in Long Term Debt (Including Current Maturities)

18. Increase/(Decrease) in Other Liabilities &amp; Deferred Credits

19. Increase/(Decrease) in Capital Stock, Paid-in Capital, Membership and Capital Certificates &amp; Other Capital

20. Less: Payment of Dividends

21. Less: Patronage Capital Credits Retired

22. Other (Explain)

23. Net Cash Provided/(Used) by Financing Activities

## CASH FLOWS FROM INVESTING ACTIVITIES

24. Net Capital Expenditures (Property, Plant &amp; Equipment)

25. Other Long-Term Investments

26. Other Noncurrent Assets &amp; Jurisdictional Differences

27. Other (Explain)

28. Net Cash Provided/(Used) by Investing Activities

29. Net Increase/(Decrease) in Cash

30. Ending Cash

Revision Date 2010

REDACTED - FOR PUBLIC INSPECTION